

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Yi Sun et al.  
Appl No.: 10/053,940  
Filed: January 22, 2002  
For: LIQUID CRYSTAL ADAPTIVE LENS WITH  
CLOSED-LOOP ELECTRODES AND RELATED  
FABRICATION METHODS AND CONTROL METHODS

Confirmation No.: 4873

Box Missing Parts  
Commissioner for Patents  
Washington, DC 20231


**SUBMITTAL OF DECLARATION  
UNDER 37 C.F.R. §1.63 FOR SMALL ENTITY**

Sir:

In response to the Notice to File Missing Parts dated February 19, 2002, enclosed is a Declaration and Power of Attorney for the above-identified application that has been executed by the named inventors. Applicant claims small entity status.

A check in the amount of \$65.00 is enclosed to cover the surcharge under 37 C.F.R. § 1.16(e). Any additional fee or credit may be charged to our Deposit Account No. 16-0605.

Respectfully submitted,

  
Andrew T. Spence  
Registration No. 45,699

**Customer No. 00826**  
**ALSTON & BIRD LLP**  
Bank of America Plaza  
101 South Tryon Street, Suite 4000  
Charlotte, NC 28280-4000  
Tel Charlotte Office (704) 444-1000  
Fax Charlotte Office (704) 444-1111

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

**Box Missing Parts**, Commissioner for Patents, Washington, DC 20231, on March 26, 2002.



Sarah B. Simmons  
CLT01/4526520v1

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RESPONSE TO NOTICE TO  
FILE MISSING PARTS OF APPLICATION

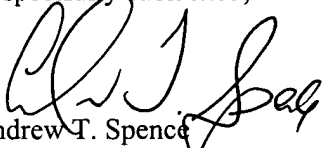
Sir:

In response to the Notice to File Missing Parts of Application dated February 19, 2002, enclosed are the following:

- ☒ Part 2 of Formalities Letter or Form PTO-1533  
(Notice to File Missing Parts of Application)
- ☒ Declaration and Power of Attorney for the above-identified application which has been executed by the named inventor(s)
- ☒ Applicant claims small entity status
- ☒ Check in the amount of \$65.00 to cover the surcharge under 37 C.F.R. § 1.16(e)
- ☐ English Translation and \$130.00 (37 CFR 1.17(k)) fee for filing late.
- ☐ Other:

Any additional fee or credit may be charged to our Deposit Account No. 16-0605.

Respectfully submitted,

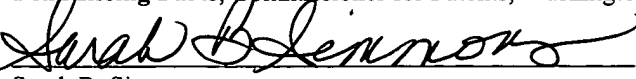
  
Andrew T. Spence  
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Sarah B. Simmons



UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/053,940	01/22/2002	Yi Sun	38470/241807

CONFIRMATION NO. 4873

FORMALITIES LETTER



\*OC00000007494656\*

00826  
ALSTON & BIRD LLP  
BANK OF AMERICA PLAZA  
101 SOUTH TRYON STREET, SUITE 4000  
CHARLOTTE, NC 28280-4000

Date Mailed: 02/19/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

M-MAIHE

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/10/2002 NMOHAMM1 00000069 10053940

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